

Information on the acquirer – natural person

If the acquirer is a natural person it provides information itself as required in table below.

If any information obligation cannot be fulfilled or does not apply to the person, a separate explanation for this is attached.

No	Information to be submitted	Possible document types	Documents submitted by the acquirer	Explanations about the submitted documents, if needed
1.	Information on person's identity:			
1.1.	name, surname, birth date and place, national identity number (if any), data of personal identification document (issuing authority, issuing State, date of issuance, period of validity, number)	Confirmation by the person with necessary information		
1.2.	address and contact details	Confirmation by the person with necessary information		
1.3.	Curriculum Vitae, indicating education and training, previous professional experience, as well as any current professional activities	Detailed Curriculum Vitae or equivalent document		
2.	Information on the companies managed or controlled by person over last 10 years:			
2.1.	Information on person's criminal or	Certificate by law enforcement		

	administrative records	authorities Certificate by regulatory authorities		
2.2.	Information on fines applied to the company under control of person or in which board or council the person had been a member, and whether any administrative case or criminal proceedings have been initiated, as well as information on whether the person had held a position in a company that has gone bankrupt or deprived of rights to pursue their business	1) Conformation of absence of above facts by person; 2) Explanation of sanctions applied, involvement of person, seriousness of offence, consequences		
2.3.	Information on ongoing pre-trial investigation, administrative processes, legal proceedings, enforcement of court rulings that apply to the person	Detailed description by person Extracts, confirmations from state authorities		
2.4.	Any refusal to register, issue permit, acquire a qualifying holding or grant a trading licence or professional licence, revocation, withdrawal or termination of any of such registration, holding or licence, as well exclusion from registers by any of state authorities or professional associations	Detailed description by person		
2.5.	Dismissal from the employment or any position of trust, fiduciary relationship or other similar relationship	Detailed description by person		
2.6.	Information as to whether an assessment of reputation of the proposed acquirer has already been conducted by another supervisory authority, evidence of the outcome of the assessment by that authority	Evidence by supervisory authority		
2.7.	Information regarding the current financial soundness of the person based on the	Aggregation of assets and liabilities in line with Annex 10		

	aggregate assets and liabilities, including details concerning sources of revenues, assets and liabilities, pledges and guarantees, granted or received	<p>to the Regulations; Copies of agreement Income statements confirmed by the State Revenue Service or similar institution; Extracts from public registers on asset ownership; Extracts of financial institution accounts on deposits, financial instruments; Asset assessment (person may submit its assessment based on publicly available information, indicating the source of information, in case of lack of specified asset)</p> <p>Asset assessment (assessment prepared by certified valuator in line with regulatory requirements, where the assets basically prove financial stability)</p>		
2.8.	Description of person's professional activities (business)	Detailed business description, indicating the position occupied, the scope of activities, business regions		
2.9.	Data on companies where the person holds control or any position in the board or council, and companies where the person has a qualifying holding or significant impact	<p>Registration document; Register of shareholders/members; List of companies (name, registration name, date, place)</p>		

2.10.	Financial accounts for last three years of the companies that are controlled or managed by the person, ratings granted to those companies or person itself, publicly available reports about the companies	Financial reports prepared by the management (operative balance) if the company has been operating less than one year since its establishment; Person's confirmation about the ratings granted; Reference to publicly reliable information that shall be taken into account for the purpose of Clause 2.10		
2.11.	Description of person's financial and non-financial interests ¹ or relationship with the persons listed below:	Description of interests; Description of liabilities; Documents supporting interests and relationship (contracts, agreements etc.); Description of applied voting rights type		
2.11.1.	shareholders of financial institutions			
2.11.2.	any person entitled to exercise voting rights of the target undertaking in any of the following cases: – voting rights held by a third party with whom that person has concluded an agreement, which obliges them to adopt, by concerted exercise of the voting rights they hold, a lasting common policy towards the management; – voting rights held by a third party under an agreement concluded with that person			

¹ Financial interests may include interests such as credit operations, guarantees and pledges; non-financial interests may include interests such as family or close relationships.

	<p>providing for the temporary transfer for consideration of the voting rights in question;</p> <ul style="list-style-type: none"> – voting rights attaching to shares which are lodged as collateral with that person, provided that the person controls the voting rights and declares its intention of exercising them; – voting rights which the person in question is entitled to use for an unlimited time period; – voting rights which may be exercised in line with mentioned regulations for exercising voting rights by the company controlled by that person; – voting rights attaching to shares deposited with that person which the person can exercise at its discretion in the absence of specific instructions from the shareholders; – voting rights held by a third party in its own name on behalf of that person; – voting rights which that the person may exercise as a proxy where the person can exercise the voting rights at its discretion in the absence of specific instructions from the shareholders 			
2.11.3.	members of council or board of the financial institution			
2.11.4.	financial institution and its group			
2.12.	Any data about the person that can be considered as obstacles upon the acquisition or increase of a qualifying holding in the	Written information provided by person		

	financial institution			
2.13.	Data on an authorised person if the person upon the acquisition of increase of a qualifying holding in the financial institution has empowered other person to exercise voting rights:	Written information provided by person Power of attorney Contract of authorisation		
2.13.1.	information on the identity of authorised person in line with Clauses 1.1 and 1.2 hereof and Clause 1 of Annex 3	Documents referred to in Clauses 1.1 and 1.2 hereof Documents referred to in Clauses 1.1, 1.2 and 1.3 of Annex 3		
2.13.2.	information on the identity of other beneficiaries in line with Clauses 1.1 and 1.2 hereof or Clause 1 of Annex 3	Documents referred to in Clause1 hereof Documents referred to in Clauses 1.1, 1.2 and 1.3 of Annex 3		